

**25 Feb 2025**

## Whistle Blowing

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### 1. Whistleblowing

Whistleblowing is an essential mechanism for detecting and addressing misconduct, fraud, or unethical behavior within Fubon Bank (Hong Kong) Limited (“the Bank”, and which such expression shall include its subsidiaries). The purpose of this announcement is to provide information on whistleblowing system and the reporting channel to report any suspected cases to the Bank.

### 2. Scope of Reporting

Any person who becomes aware that certain conduct of the Bank’s staff:

- May constitute an illegal, unethical and/or questionable nature (including crime, fraud, theft, forgery, and/or corruption as well as violation of law); or
- May cause substantial risks (i.e. credit, market, interest rate, liquidity, operational, reputation, strategic, legal and compliance risks) to the Bank.

### 3. Reporting channel

All reports can be sent to [whistleblowing1.fbhk@fubon.com](mailto:whistleblowing1.fbhk@fubon.com)

### 4. Confidentiality

The Bank must keep the details of reported cases and the whistleblower’s identity confidential unless the disclosure is required by law.

### 5. Cases not accepted

The Bank has the discretion to decline cases that meet any of the following criteria:

1. The whistleblower fails to provide a contact method.
2. The whistleblower’s description of the reported case is inadequate.
3. The whistleblower does not provide sufficient information to identify the reported person.
4. The reported case appears to be false.
5. The case is reported under someone else’s name without any supporting evidence.
6. The same case has been reported previously and rejected or closed, unless the whistleblower presents new evidence to warrant a reinvestigation.
7. The reported case is currently under judicial investigation or litigation, has already been ruled upon by a court, is being addressed by a remedial panel, or has been settled or resolved.
8. The reported case is not related to the Bank, subsidiaries and their employees.

Any case declined or not accepted by the handling unit will be reported to the CEO & MD and/or the Nomination and Remuneration Committee of the Board of Directors of the Bank for approval.