

# CORPORATE INFORMATION

## 公司資料

### SHAREHOLDER

100% – Fubon Financial Holding Co., Ltd.

### AUDITORS

KPMG

### COMPANY SECRETARY

Juliana CHIU Yuk Ching

### REGISTERED OFFICE

Fubon Bank Building  
38 Des Voeux Road Central  
Hong Kong

### 股東

100% – 富邦金融控股股份有限公司

### 核數師

畢馬威會計師事務所

### 公司秘書

趙玉貞

### 註冊辦事處

香港中環  
德輔道中 38 號  
富邦銀行大廈



# BOARD OF DIRECTORS

## 董事



### Daniel TSAI Ming Chung

#### Chairman

Aged 64. Mr. Tsai joined Fubon Group in 1981. He is currently the Chairman of the Fubon Group, Taiwan Mobile Co., Ltd. and Taiwan Fixed Network Co., Ltd. Mr. Tsai is also the Standing Director of Taipei Fubon Commercial Bank Co., Ltd. and Director of Fubon Bank (China) Co., Ltd. Mr. Tsai has extensive experience in banking and telecommunication services, as well as land development, construction management and building leasing business. He holds a Master of Law Degree (1979) from Georgetown University and a Bachelor of Law Degree (1978) from National Taiwan University. Mr. Tsai is the elder brother of Mr. Richard Tsai Ming Hsing. He became a Director of the Bank in February 2004.



### Richard TSAI Ming Hsing

#### Vice Chairman

Aged 63. Mr. Tsai joined Fubon Group in 1983. He is the Chairman of Fubon Financial Holding Co., Ltd. and Fubon Life Insurance Co., Ltd. Mr. Tsai is also the Director of Taiwan Mobile Co., Ltd. and Taiwan Fixed Network Co., Ltd. Mr. Tsai has over 30 years of experience in financial and insurance businesses. He holds a Master of Finance Degree (1981) from New York University and a Bachelor of Business Administration Degree (1979) from National Taiwan University. Mr. Tsai is the younger brother of Mr. Daniel Tsai Ming Chung. He became a Director of the Bank in February 2004.



### Raymond LEE Wing Hung

#### Executive Director

Aged 71. Mr. Lee was appointed Chief Executive Officer and Managing Director of the Bank in May 2012. He is the Director of Fubon Life Insurance (Hong Kong) Company Limited. Mr. Lee is a professional accountant and veteran banker with over 40 years of extensive international banking experience acquired both locally and overseas. He was the Executive Director and Chief Financial Officer of the BOC Hong Kong (Holdings) Limited ("BOCHK") from 2004 to 2009. Before joining BOCHK, he was a Director, Alternate Chief Executive and Managing Director of CITIC International Financial Holdings Limited and a Director and Chief Executive of The Hong Kong Chinese Bank. He was seconded by the Bank of New York in 1992 to serve as a Director and Alternate Chief Executive of Wing Hang Bank and had remained in that capacity until 1999. While serving in Wing Hang, Mr. Lee was concurrently a Senior Vice President and Managing Director of the Bank of New York, where he had served in different capacities in New York and Toronto since 1982. Prior to 1982, he had worked for Bank of America for 8 years in various positions in different Asian and North American cities. Mr. Lee is a fellow of the Association of Chartered Certified Accountants and a member of the Hong Kong Institute of Certified Public Accountants.

### 蔡明忠

#### 主席

六十四歲。蔡先生於一九八一年加入富邦集團，現時為富邦集團、台灣大哥大股份有限公司及台灣固網股份有限公司之董事長。蔡先生現時亦擔任台北富邦商業銀行股份有限公司的常務董事及富邦華一銀行有限公司的董事。蔡先生於銀行、電訊服務、土地發展、建築管理及樓宇租賃業務方面具有豐富的經驗。他持有喬治城大學法律碩士學位(一九七九年)以及國立臺灣大學法律學士學位(一九七八年)。蔡先生是蔡明興先生之胞兄。他於二零零四年二月出任本行董事。

### 蔡明興

#### 副主席

六十三歲。蔡先生於一九八三年加入富邦集團，是富邦金融控股股份有限公司及富邦人壽保險股份有限公司的董事長。蔡先生現時亦為台灣大哥大股份有限公司及台灣固網股份有限公司的董事。蔡先生於金融及保險業擁有超過三十年的經驗。他持有紐約大學財務金融碩士學位(一九八一年)及國立臺灣大學工商管理學士學位(一九七九年)。蔡先生是蔡明忠先生的胞弟。他於二零零四年二月出任本行董事。

### 李永鴻

#### 執行董事

七十一歲。李先生於二零一二年五月獲委任為本行行政總裁兼董事總經理。他現時亦為富邦人壽保險(香港)有限公司之董事。李先生是位專業會計師及資深銀行家，在本地及海外銀行業積逾四十年豐富的國際銀行經驗。李先生於二零零四年至二零零九年期間出任中銀香港(控股)有限公司(「中銀香港」)的執行董事及財務總監。於加入中銀香港之前，李先生曾擔任中信國際金融控股有限公司董事、替代總裁及董事總經理及香港華人銀行董事兼總裁。在一九九二年至一九九九年期間，李先生獲紐約銀行借調出任永亨銀行董事兼替代總裁；在此期間，李先生亦同時擔任紐約銀行高級副總裁及總經理。李先生於一九八二年加入紐約銀行，並曾在紐約及多倫多擔任不同職位。於一九八二年之前，李先生在美國銀行工作了八年，在亞洲及北美洲多個城市擔任不同職位。李先生為英國特許公認會計師公會資深會員及香港會計師公會會員。

**Jerry HARN Wey Ting****Non-Executive Director**

Aged 59. Mr. Harn joined Fubon Group in 2005, currently serves as President and Director of Fubon Financial Holding Co., Ltd. and Chairman of Fubon Securities Co., Ltd. He has over 30 years of experience in financial business. Prior to this, he was the Executive Vice President of Chinatrust Commercial Bank and Vice President of Citibank Taiwan. Mr. Harn is also the Director of Fubon Bank (China) Co., Ltd. and Taipei Fubon Commercial Bank Co., Ltd. Mr. Harn holds a Master of Business Administration Degree from The Ohio State University. He became a Director of the Bank in January 2014.

**韓蔚廷****非執行董事**

五十九歲。韓先生於二零零五年加入富邦集團，現為富邦金融控股股份有限公司總經理兼董事及富邦綜合證券股份有限公司董事長。他於金融業有超過三十年經驗。在此之前，他曾擔任中國信託商業銀行資深副總經理及花旗銀行台北分行副總裁。韓先生亦為富邦華一銀行有限公司及台北富邦商業銀行股份有限公司董事。韓先生持有俄亥俄州立大學工商管理碩士學位。他於二零一四年一月出任本行董事。

**Eric CHEN Sun Te****Non-Executive Director**

Aged 66. Mr. Chen is the Chairman of Taipei Fubon Commercial Bank Co., Ltd. He has over 37 years of experience in the banking and financial industry. Mr. Chen is also a Director of Fubon Financial Holding Co., Ltd., Fubon Bank (China) Co., Ltd. and Fubon Securities Co., Ltd. He is also an Independent Director of Uni-President China Holdings Ltd. and Lion Travel Services Co., Ltd. Prior to joining Fubon Group, Mr. Chen was the President of North Asia and Greater China of Fullerton Financial Holdings, a Temasek wholly owned subsidiary, President of Chinatrust Financial Holding Co., Ltd. (now known as CTBC Financial Holding Co., Ltd.) and Country Corporate Officer for Citigroup, Taiwan. Mr. Chen received a Master Degree in Business Administration from University of Missouri and a Bachelor Degree in Political Science from National Chengchi University. Mr. Chen became a Director of the Bank in June 2016.

**陳聖德****非執行董事**

六十六歲。陳先生現為台北富邦商業銀行股份有限公司之董事長。他於銀行及金融業擁有逾三十七年經驗。陳先生現時亦為富邦金融控股股份有限公司、富邦華一銀行有限公司及富邦綜合證券股份有限公司之董事。他同時亦為統一企業中國控股有限公司及雄獅旅行社股份有限公司之獨立董事。在加入富邦集團之前，陳先生曾擔任富登金融控股私人有限公司(新加坡淡馬錫控股之全資子公司)北亞及大中華地區總經理，中國信託金融控股股份有限公司(現為中國信託金融控股(股)公司)總經理及花旗集團台灣區負責人。陳先生持有密蘇里大學工商管理碩士學位及國立政治大學政治學學士學位。他於二零一六年六月出任本行董事。

**Roman CHENG Yao Hui****Non-Executive Director**

Aged 55. Mr. Cheng is President and Standing Director of Taipei Fubon Commercial Bank Co., Ltd. He is also the Chairman of Fubon AMC, Ltd. and Director of Fubon Bank (China) Co., Ltd. Prior to that, Mr. Cheng was the Director and Chairman of Strategy Committee of Haier Consumer Finance Co., Ltd., Senior Vice President of Fullerton Financial Holdings Pte Ltd. (subsidiary of Temasek Holdings (Private) Limited) and Chief Executive Officer and Director of Fullerton Investment & Credit Guarantee Co., Ltd. and Fullerton Commercial Factoring Co., Ltd. Mr. Cheng holds a Master of Business Administration Degree and a Bachelor Degree in Diplomacy from the National Chengchi University. He became a Director of the Bank in March 2018.

**程耀輝****非執行董事**

五十五歲。程先生現為台北富邦商業銀行股份有限公司總經理及常務董事。他亦為富邦資產管理股份有限公司董事長及富邦華一銀行有限公司董事。在此之前，程先生曾為海爾消費金融公司董事、戰略委員會主任委員、新加坡淡馬錫集團富登金融控股公司高級副總裁及旗下富登投資信用擔保有限公司及富登商業保理有限公司之首席執行官及董事。程先生持有臺灣國立政治大學外交系學士學位及企業管理研究所碩士學位。他於二零一八年三月出任本行董事。



**SHIH Hung****Independent Non-Executive Director**

Aged 66. Mr. Shih was the Director of China Renaissance Capital Advisors Limited and Managing Director of China Renaissance Capital Investment Limited. Prior to this, he was also the Managing Director and the China Country Head of UBS AG. Mr. Shih holds both a Master of Business Administration Degree (1982) and a Master of Law Degree (1980) from the University of Michigan, as well as a Bachelor of Law Degree (1976) from National Taiwan University. He became a Director of the Bank in February 2004.

**Peter PANG Sing Tong****Independent Non-Executive Director**

Aged 65. Mr. Pang joined the Hong Kong Monetary Authority ("HKMA") as Executive Director (Banking Policy) in 1994 and was appointed as Executive Director (Monetary Policy and Markets) in 1996. Mr. Pang was seconded to the Hong Kong Mortgage Corporation and assumed the position of the Chief Executive Officer in 1997 till 2004. He was appointed as Deputy Chief Executive of the HKMA from July 2004 to February 2016. Mr. Pang holds a Bachelor Degree of Social Science from The University of Hong Kong and a Master Degree in Management from the Stanford University. He became a Director of the Bank in April 2018.

**John Keith BALL****Independent Non-Executive Director**

Aged 66. Mr. Ball is a career banker with over 40 years of extensive experience in financial services. He was the Managing Director and Global Head of Sales for Cash Management Financial Institutions for Deutsche Bank from 2011 to 2014 based in London. Prior to relocating to London, Mr. Ball was Managing Director and Asia Head of Cash Management Financial Institutions from 1999 to 2011 based in Hong Kong. Mr. Ball worked for Bankers Trust Company in London, New York and Hong Kong for 25 years before Deutsche Bank acquired Bankers Trust Company in 1999. He became a Director of the Bank in July 2020.

**石宏****獨立非執行董事**

六十六歲。石先生曾為崇德投資顧問有限公司之董事及崇德基金投資有限公司董事總經理。在此之前，他亦曾是UBS AG董事總經理兼中國區域主管。石先生持有密歇根大學工商管理碩士學位（一九八二年）及法律碩士學位（一九八零年），以及國立臺灣大學法律學士學位（一九七六年）。他於二零零四年二月出任本行董事。

**彭醒棠****獨立非執行董事**

六十五歲。彭先生於一九九四年加入香港金融管理局（「金管局」）擔任助理總裁（銀行政策）及於一九九六年被委任為助理總裁（金融政策及市場）。彭先生於一九九七年至二零零四年期間借調至香港按揭證券有限公司出任該公司之總裁，並於二零零四年七月至二零一六年二月期間出任金管局之副總裁。彭先生持有香港大學社會科學學士學位及史丹福大學管理碩士學位。他於二零一八年四月出任本行董事。

**卜約翰****獨立非執行董事**

六十六歲。卜先生是一位資深銀行家，在金融服務領域擁有40多年的豐富經驗。於二零一一年至二零一四年期間，他曾在倫敦擔任德意志銀行現金管理金融機構的董事總經理兼全球銷售總監。在移居倫敦之前，卜先生於一九九九年至二零一一年期間在香港擔任德意志銀行現金管理金融機構的董事總經理兼亞洲區負責人。卜先生在德意志銀行於一九九九年收購Bankers Trust Company之前曾在倫敦、紐約和香港的Bankers Trust Company工作了二十五年。他於二零二零年七月出任本行董事。

# SENIOR MANAGEMENT

## 高級管理層

### Raymond LEE Wing Hung

#### Chief Executive Officer and Managing Director

(Biographical details are set out on page 7)

### Henry WANG Hao Jen

#### Executive Vice President and Chief Financial Officer

Mr. Wang joined the Bank in April 2009 and currently oversees the Bank's financial control, legal and corporate communications functions. Mr. Wang is an experienced professional with over 25 years of related work experience. Before joining the Bank, Mr. Wang has held various senior positions in marketing and finance fields in The Chase Manhattan Bank, N.A., Banque Nationale de Paris, Taiwan Semiconductor Manufacturing Company, Ltd., Taiwan Mobile Co., Ltd. and Primax Electronics Co., Ltd. Mr. Wang obtained an MBA degree from Rutgers, The State University of New Jersey, U.S.A. and a BA degree in Finance, National Taiwan University.

### Carmen YIP Ka Man

#### Executive Vice President and Head of Retail Banking Group

Ms. Yip joined the Bank in January 2000 and overseeing the Bank's Retail Banking Group, including Channel and Wealth Management, Consumer Finance, Securities Services and Retail Credit Approval and Administration. Ms. Yip held management positions in local and foreign banks, gaining expertise in retail banking. She obtained a Bachelor of Social Sciences degree from The University of Hong Kong.

### Henry NG Yuk Hang

#### Executive Vice President and Head of Institutional Banking Group

Mr. Ng possesses extensive experience in commercial and corporate banking as well as credit administration and risk assets management. Before joining the Bank, he was Senior Vice President, Private Banking Credit Head of DBS Bank. He also held key positions in various banks including Bank of New York – Hong Kong Branch, Wing Hang Bank, Citic Ka Wah Bank, East West Bank – Hong Kong Branch, and DBS Bank. Mr. Ng obtained a Master of Business Administration degree from The City University, London and is an Associate of The Hong Kong Institute of Bankers.

### Liza LEUNG Wan Sheung

#### Executive Vice President and Head of Operations and Technology Group

Ms. Leung joined the Bank in September 1980 and currently oversees Operations, Information Technology ("IT"), IT Risk Management and Enterprise Project & Process Management functions. Before that, she has been working in various departments including Retail Banking, Training Development and Operations in the Bank. Ms. Leung obtained a Bachelor Degree in Arts and a Master Degree in Business Administration from DePaul University, U.S.A.

### 李永鴻

#### 行政總裁兼董事總經理

(資料詳情見第 7 頁)

### 王浩人

#### 執行副總裁兼財務長

王先生於二零零九年四月加盟本行，現監管本行之財務管理、法務及企業傳訊等範疇。他在銀行界及商業界已累積了超過二十五年的專業經驗。王先生在加入本行前，曾於多家主要國際性銀行及台資機構擔任業務與財務相關要職，其中包括美商大通銀行、法國國家巴黎銀行、台灣積體電路製造(股)公司、台灣大哥大(股)公司及致伸科技公司。王先生擁有美國羅格斯大學企管碩士及國立臺灣大學財務金融學士學位。

### 葉嘉敏

#### 執行副總裁兼零售銀行部主管

葉女士在二零零零年一月加盟本行，現掌管本行的零售銀行業務，包括通路及財富管理、消費金融、證券投資服務及零售信貸批核及行政部。葉女士曾任多間本地及外資銀行管理要員，在零售銀行服務方面擁有豐富的經驗。葉女士持有香港大學社會科學學士學位。

### 吳毓鏗

#### 執行副總裁兼企業及機構銀行部主管

吳先生於商業及企業銀行、信貸管理和資產風險管理具豐富經驗。加盟本行前，吳先生於星展銀行擔任高級副總裁兼私人銀行業務信貸主管，他亦曾於多間銀行擔任要職，其中包括紐約銀行—香港分行、永亨銀行、中信嘉華銀行、華美銀行—香港分行及星展銀行。吳先生持有倫敦城市大學工商管理碩士學位及現為香港銀行學會會士。

### 梁運嫦

#### 執行副總裁兼營運及資訊科技部主管

梁女士於一九八零年九月加盟本行，現專責管理營運、資訊科技、資訊科技風險管理以及企業項目及流程管理等範疇。梁女士曾先後於本行零售銀行部、培訓發展部及營運部工作。梁女士持有美國DePaul University文學士學位及工商管理碩士學位。



(From left) Henry Wang, Executive Vice President & Chief Financial Officer; Carmen Yip, Executive Vice President & Head of Retail Banking Group; Raymond Lee, Chief Executive Officer & Managing Director; Liza Leung, Executive Vice President & Head of Operations and Technology Group; Henry Ng, Executive Vice President & Head of Institutional Banking Group

(左起) 執行副總裁兼財務長王浩人、執行副總裁兼零售銀行部主管葉嘉敏、行政總裁兼董事總經理李永鴻、執行副總裁兼營運及資訊科技部主管梁運嫦、執行副總裁兼企業及機構銀行部主管吳毓經