

Fubon Financial Holding Co., Ltd. on behalf of Fubon Bank (Hong Kong) Limited publishes today the following announcement on the website of the Taiwan Stock Exchange in relation to details of disciplinary action imposed by Hong Kong Monetary Authority:-

Provided by: Fubon Financial Holding Co., Ltd.

SEQ_NO	1	Date of announcement	2024/11/08	Time of announcement	17:22:21
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Subject	Announcement by FFHC on behalf of Fubon Bank (Hong Kong) Limited in relation to details of disciplinary action imposed by Hong Kong Monetary Authority(HKMA)				
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Date of events	2024/11/08	To which item it meets	paragraph 26
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Statement	<p>1.Date of occurrence of the event: 2024/11/08</p> <p>2.The subject of the incident: report on behalf of subsidiaries: Fubon Bank (Hong Kong) Limited</p> <p>3.Cause of occurrence (Explanation of the event): Contravened Section 5(1) and Section 19(3) to Schedule 2 of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance.</p> <p>4.Handling procedure: Fubon Bank (Hong Kong) Limited implemented all the enhanced control measures, and the effectiveness of the implemented control measures was validated by an independent external third party in November 2023.</p> <p>5.Status of the injunction by the respective competent authority: Order to pay a pecuniary penalty of HKD4,000,000.</p> <p>6.Whether being fined or penalized: Yes</p> <p>7.Administrative fines(amount): NTD 0 元; HKD 4,000,000 元</p> <p>8.Anticipated possible loss or impact: HKD4,000,000</p> <p>9.Amount of insurance claims that might be obtained(amount): Not available</p> <p>10.Improvement status and future countermeasures: Fubon Bank (Hong Kong) Limited implemented all the enhanced control measures, and the effectiveness of the implemented control measures was validated by an independent external third party in November 2023.</p> <p>11.Whether material information regarding the same event has been previously released: None</p> <p>12.Any other matters that need to be specified: This disciplinary action has been published in the website of Hong Kong Monetary Authority.</p>
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