

Anti-Bribery and Anti-Corruption Statement

Fubon Bank (Hong Kong) Limited (the “Bank”) is a subsidiary of Fubon Financial Holding Company (“Fubon Financial”), a well-established financial institution in Taiwan. The Bank promotes a culture that encourages and recognizes high ethical standards and expects its staff to uphold Fubon Group’s Core Values and Behavioral Principles in dealing with its customers and stakeholders.

The Bank endeavors to be in full compliance with the laws and regulations where it operates and takes a zero-tolerance approach to bribery and corruption in all its dealings and business operations, in line with its Code of Conduct and Ethics. All of the Bank’s members, including directors and staffs at all levels are required to follow all applicable laws and regulations related anti-bribery and corruption (including but not limited to the Prevention of Bribery Ordinance, guidance issued by the Independent Commission Against Corruption and other laws and regulations as applicable) in conducting business. The Bank’s policy and commitments as stated in its Code of Conduct and Compliance policy related to anti-bribery and corruption, include:

- (i) **Conduct When Doing Business** – The Bank sets strict requirements to prohibit any person (or party) doing business with the Bank, or acting for the Bank, shall in any form or manner pays or receive a bribe or advantage (as defined in the Prevention of Bribery Ordinance) for any reason or consideration whatsoever. Directors and staff members are prohibited from soliciting, accepting, or retaining personal benefits from any other directors/ staff members, customer of the Bank, or any person or organization doing or seeking to do business with the Bank. The Bank has put in place its guidelines which directors and staff members shall follow when personal benefits are accepted under specified circumstances. The Bank also takes a similar zero-tolerance stance on bribery and corruption in relation to all external parties (including but not limited to customers, vendors or service providers) and would not hesitate to terminate any business dealings should any concerned party be found to have acted in violation of the Bank’s policy.
- (ii) **Conflicts of Interest** – The Bank has established its policy and procedures to manage the actual or potential conflicts of interest and put in place stringent internal structures to avoid conflicts of interest and the misuse of inside information.
- (iii) **Whistleblowing** – The Bank has established its anti-bribery and corruption program, which includes detailed policies applicable to all directors and staffs, as well as training, compliance procedures and whistle-blowing policy. The Bank has also put in place its guidelines and procedures, to ensure that there are clear channels for reporting illegal, unethical practices or suspected bribery and corruption activities related to the Bank in good faith.

Disciplinary action will be enforced on any employee found to have breached the Bank’s policy on anti-bribery and corruption which may include dismissal if deemed necessary.

The Bank also reserves the right to terminate any business relationship, employment or appointment with directors, agents or vendors that violates the anti-corruption requirements and/or the Bank’s zero-tolerance policy against bribery and corruption.