

**Fubon Bank (Hong Kong) Limited (the “Bank”)
 Securities Services
 List of Service Charges for Shanghai Stock
 Exchange (SSE) Securities under Shanghai Connect
 and Shenzhen Stock Exchange (SZSE) Securities
 under Shenzhen Connect**

Service Items **Fees** (Effective 16 Jan 2017)

SSE Securities / SZSE Securities

Brokerage commission	0.4% on transaction amount (min. RMB300)
Stamp duty	0.1% on transaction amount (sell order only)
Handling fee	0.00487% on transaction amount
Securities management fee	0.002% on transaction amount
Transfer fee	0.002% on transaction amount (payable to ChinaClear)
	0.002% on transaction amount (payable to HKSCC)
Stock deposit fee	0.01% on stock value of previous closing price (min. RMB30, max. RMB2,500)
Stock withdrawal fee	0.01% on stock value of previous closing price (min. RMB30) + handling charges RMB300
Custody fee	RMB0.15 per lot (min. RMB20) calculated on portfolio volume by month end, and charge monthly
Cash / scrip dividend collection fee	0.75% on dividend amount (min. RMB50) + 10% withholding tax
Bonus issue collection fee	RMB100 per stock + 10% withholding tax (if applicable)
Corporate action handling fee	RMB250 per stock + relevant charges*
Capital Gains tax and Business tax	Exempted
Portfolio Fee	Charged monthly at 0.008%/365 on daily portfolio

Terms and Conditions:

1. Odd lots are charged as board lots.
2. Except otherwise mentioned, all charges, fees and levies are charged on the “per lot”, “per transaction”, “per stock”, and “per day” basis in RMB.
3. If the Customer’s settlement account(s) balance cannot settle the fee(s) in full, the Bank reserves the right to set off the charges, fees and levies at any of the Customer’s account(s) with the Bank.
4. Cash dividend collected in foreign currency will be credited to the Customer’s settlement account in the same currency. If there is no such settlement account, cash dividend payment will be converted and credited to the Customer’s available settlement account. Under such circumstance, the exchange rate will be determined by the Bank based on the then-prevailing market rates of exchange between the two currencies.
5. (*) Customer may be required to pay the costs, charges, fees and levies incurred by the Bank or charged by third parties such as government, regulatory bodies, foreign agents and other service providers in relation to the securities trading, custodian and/or nominee services provided by the Bank. Such third party costs, charges, fees and levies may vary from time to time and will be determined by the Bank. A special handling charge may be imposed on a case by case basis where appropriate. Details will be available upon request.

Contact Fubon Bank Securities Department

Business Hours Mondays – Fridays (except Saturdays,
Sundays and public holidays)
8:30am - 5:30pm

Securities Trading Hotlines 2842 6262 (Hong Kong)
00801 855 978 (Taiwan)

Securities Services Centres
Yuen Long 2842 7558
Tsuen Wan 2842 1628

Note:

The information in this fee table is for Customer’s reference with regard to the charges, fees and levies for various services offered by the Bank.

The listed charges, fees and levies are valid as at 16 Jan 2017 and are subject to change. The Bank reserves the right to revise or introduce any service charge from time to time. For charges, fees and levies not contained in this fee table, please contact our branch staff for details.

“HKSCC” means the Hong Kong Securities Clearing Company Limited.

“ChinaClear” means China Securities Depository and Clearing Corporation Limited.

All charges, fees and levies determined and collected by the Government of Hong Kong Special Administrative Region / Hong Kong Exchanges and Clearing Limited / Securities and Futures Commission / Hong Kong Securities Clearing Company Limited / The Stock Exchange of Hong Kong Limited / General Rules of the Hong Kong Association of Banks / Shanghai Stock Exchange / Shenzhen Stock Exchange / China Securities Regulatory Commission / China Depository and Clearing Corporation Limited and State Administration of Taxation are subject to change without prior notice.

Should there be any inconsistency between the English and Chinese versions of this table, the English version shall prevail.